

10-15-01

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Please type a plus sign (+) inside this box → PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. ARC 2427 N1

First Inventor or Application Identifier Lothar Kleiner

Title See 1 in Addendum

Express Mail Label No. EL 929719434 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages 37]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets 14]
4. Oath or Declaration [Total Pages 14]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

*** NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: 09/213,213

Group / Art Unit: 1615

Prior application information: Examiner DODSON, S.

For **CONTINUATION or DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 22921 or Correspondence address below
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Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Vandana Date	Registration No. (Attorney/Agent)	38,675
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

Attachment to PTO/SB/05 (4/98) Utility Patent Application
Transmittal

1. RATE CONTROLLING MEMBRANES FOR CONTROLLED DRUG DELIVERY DEVICES

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

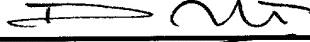
Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 CFR §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$944.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Lothar Kleiner
Examiner Name	
Group / Art Unit	1615
Attorney Docket No.	ARC 2427 N1

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.				3. ADDITIONAL FEES Large Entity Small Entity Fee Code (\$) Fee Code (\$) Fee Description Fee Paid				
Deposit Account Number 01-1173				105	130	205	65	Surcharge - late filing fee or oath 0.00
Deposit Account Name ALZA Corporation				127	50	227	25	Surcharge - late provisional filing fee or cover sheet 0.00
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17				139	130	139	130	Non-English specification 0.00
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other				147	2,520	147	2,520	For filing a request for reexamination 0.00
				112	920*	112	920*	Requesting publication of SIR prior to Examiner action 0.00
				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action 0.00
				115	110	215	55	Extension for reply within first month 0.00
				116	380	216	190	Extension for reply within second month 0.00
				117	870	217	435	Extension for reply within third month 0.00
				118	1,360	218	680	Extension for reply within fourth month 0.00
				128	1,850	228	925	Extension for reply within fifth month 0.00
				119	300	219	150	Notice of Appeal 0.00
				120	300	220	150	Filing a brief in support of an appeal 0.00
				121	260	221	130	Request for oral hearing 0.00
				138	1,510	138	1,510	Petition to institute a public use proceeding 0.00
				140	110	240	55	Petition to revive - unavoidable 0.00
				141	1,210	241	605	Petition to revive - unintentional 0.00
				142	1,210	242	605	Utility issue fee (or reissue) 0.00
				143	430	243	215	Design issue fee 0.00
				144	580	244	290	Plant issue fee 0.00
				122	130	122	130	Petitions to the Commissioner 0.00
				123	50	123	50	Petitions related to provisional applications 0.00
				126	240	126	240	Submission of Information Disclosure Stmt 0.00
				581	40	581	40	Recording each patent assignment per property (times number of properties) 0.00
				146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a)) 0.00
				149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b)) 0.00
				Other fee (specify) _____				0.00
				Other fee (specify) _____				0.00
				SUBTOTAL (3) (\$ 0.00)				
				Reduced by Basic Filing Fee Paid				

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Vandana Date	Registration No (Attorney/Agent)	38,675	Telephone (-650)-564-5909
Signature			Date	Oct 9, 2001

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